# Slim Diffractive Waveguide Glasses for Beaming Displays with Enhanced Head Orientation Tolerance

Yuta Itoh\* The University of Tokyo, Japan Yuichi Hiroi<sup>‡</sup> Cluster Metaverse Lab Tomoya Nakamura<sup>†</sup> Osaka University, Japan Kaan Aksit<sup>§</sup> University College London, United Kingdom



Figure 1: Our waveguide-based light-receiving glasses for Beaming Displays. (a) Conceptual illustration of the beaming display, (b) Our proof-of-concept prototype of passive light-receiving glasses with schematic waveguide path visualization, and (c) a see-through view from the user perspective camera behind the prototype.

## 1 ABSTRACT

Augmented Reality (AR) glasses must be slim, lightweight, and 2 energy-efficient to achieve widespread adoption. Beaming Displays 3 present a promising solution by offloading active components, such 4 as the power-supplied light engine, into the surrounding environ-5 ment while leaving only passive elements, like the eyepiece, in 6 the wearable device. However, existing approaches still struggle 7 to achieve both a slim design and a wide tolerance for projection 8 angles relative to the user's head orientation. In this work, we intro-9 duce a design for light-receiving glasses using a diffractive waveg-10 uide with in-coupling and out-coupling gratings. Our approach ex-11 pands the allowable range of incident angles while maintaining a 12 compact, lightweight form factor. We developed a proof-of-concept 13 prototype and demonstrated an incident angle tolerance of approx-14 imately 20-30 degrees range, overcoming the previous design of 5 15 degrees. 16

Index Terms: Beaming display, Augmented reality, Near-eye dis play, Waveguide, DOEs.

# 19 1 INTRODUCTION

Augmented Reality (AR) glasses have the potential to transform 20 digital interactions by seamlessly integrating virtual elements into 21 the physical environment [4]. Despite significant hardware ad-22 vancements, developing practical and user-friendly AR glasses re-23 mains challenging. Key issues include mutually keeping computa-24 tional power, display brightness, form factor, battery life, and over-25 all weight [10, 16], which collectively hinder the realization of im-26 mersive and unobtrusive AR experiences. 27

<sup>†</sup>e-mail: nakamura.tomoya.sanken@osaka-u.ac.jp

<sup>‡</sup>e-mail: y.hiroi@cluster.mu

Beaming Display (BD) seeks to overcome common limitations 28 of AR displays [9]. Instead of embedding complex electronics and 29 heavy components within the glasses, BD shifts computational and 30 projection tasks to the surrounding environment. In this configura-31 tion, steerable projectors track user movements and beam images 32 onto passive, light-receiving glasses [2]. These glasses, free from 33 bulky electronics, relay projected visuals to the user's eyes, poten-34 tially enabling lighter and more comfortable AR experiences. 35

36

37

38

39

40

41

42

43

44

45

46

47

48

49

50

51

52

53

54

55

56

57

58

59

60

61

62

63

64

65

66

Although existing BD systems address the bulk and weight challenges of traditional AR glasses, they introduce new technical hurdles. These include optimizing latency, coordinating projections from multiple projectors, and improving the size and design of the light-receiving optics (see Sec. 2).

A key challenge unique to BD is ensuring that the glasses can effectively capture and relay projected light over a wide Angle of Incidence (AoI). Unlike conventional AR glasses, BD optics must deliver images to the user's eyes even when the head is not perfectly aligned with the projector's beam. This requirement adds complexity to the optical design, as eyepiece optics must accommodate a wide AoI while maintaining a compact form factor. For example, although the original BD glasses achieved a wide AoI, their birdbath optics were still bulky (Fig. 2(a)).

Recent advancements in BD systems, including the integration of holographic optical elements (HOEs)—a variant of diffractive optical elements (DOEs)—into light-receiving optics, demonstrate potential for creating thinner and lighter AR glasses [1]. However, these designs remain limited by their sensitivity to precise alignment between the projector and the glasses, which affects image visibility. Slight deviations in the AoI can cause the projected light to pass through the HOE lens without forming an image, posing a significant barrier to broader BD system adoption (Fig. 2(b)). *Off-the-shelf HOE-based waveguide systems for AR glasses cannot achieve the necessary AoI, as they are designed for carefully aligned display setups.* 

To address these challenges, we developed a light-receiving optic system that combines a diffraction grating-based waveguide with light-receiving screen optics (Fig. 2(c)). Table **??** presents a qualitative comparison of our approach with existing methods. Our main contributions are:

<sup>\*</sup>e-mail: yuta.itoh@iii.u-tokyo.ac.jp

<sup>§</sup>e-mail: k.aksit@ucl.ac.uk



Figure 2: Schematic visualization of the Aol tolerance of existing BD receiving optics. (a) Beaming Display can accept projection from wide Aol, yet the receiving optics are hard to miniaturise [9], (b) HoloBeam uses an HOE lens, which has extremely severe Aol range due to the Bragg condition requirement in its diffractive property [9], and (c) Our design with waveguide.

Wide AoI Eyepiece: We propose a passive light-receiving glasses design featuring a grating-based waveguide for BDs, achieving a wider AoI and supporting slim waveguides with an effective AoI range of approximately 20–30° for horizontal and vertical head orientations.

Prototype and Evaluation: We developed a prototype and demonstrated with a narrow field-of-view (FoV) projector, providing a foundation for further exploration in this research area.

# 75 2 RELATED WORK

This section reviews BD and waveguide technologies that underpinour passive optical glasses design.

### 78 2.1 Beaming Display Approach

The BD approach offers the potential for addressing feature tradeoffs in conventional AR displays. However, current implementations face challenges such as glasses weight [9], display latency [7],
and limited scalability of tracking volume [28, 2].

Akşit et al. proposed a holographic lens approach for pas-83 sive light-receiving glasses, employing an HOE lens to create flat, 84 thin optical glasses [1]. The core concept involves using an HOE 85 lens-a flat, tilted optical element-that directly forms a virtual im-86 age at the wearer's viewpoint. They further proposed integrating 87 the HOE lens with a spatial light modulator to enable computa-88 tional holography for projection. However, the angular selectivity 89 of these holographic lenses necessitates precise alignment between 90 the incident image projection and the lens (Fig. 3(b)). Even a few 91 degrees of angular deviation can lead to a significant reduction in 92 brightness efficiency [31]. 93

# 94 2.2 Waveguides for AR Displays

AR displays produce images by directing light from a microdisplay
 source to the user's eyes [31, 33]. Among various methods for guid-

Table 1: A qualitative comparison of the performance of receiving optics in existing beaming-display approaches.

	Beaming Display [9]	HoloeBeam [1]	Ours
Screen optics	Diffuser	None	Diffuser, Lens
Guiding optics	Bird-bath optics with curved beamsplitter	HOE lens	Waveguide with diffractive gratings
Size	Bulky	Thin	Thin
Head ori- entation	Flexible	Limited (ca. 5°)	Flexible (ca. 20–30°)

ing light, waveguide-based approaches have emerged as the dom-97 inant solution in the field [17, 33, 20, 5] (Fig. 3(b)). Waveguides 98 offer several advantages, including compact design and the ability 99 to fold light paths, which enable thinner and more flexible devices. 100 Key components of waveguides include light input and output cou-101 plers, often implemented using DOEs or metasurfaces that incorpo-102 rate additional functionality, such as a lens. However, off-the-shelf 103 HOE-based waveguide systems for AR glasses do not necessarily 104 guarantee a large AoI for BD eyeglasses, as they are designed to 105 function with well-aligned display systems [32]. 106

DOEs interact with light based on specific wavelengths AoI. 107 Diffractive gratings manipulate light differently depending on its 108 wavelength, while in HOEs, only light of the designed wavelength 109 interacts, and light of other wavelengths passes through unaffected 110 (Fig. 3(a)). This wavelength selectivity makes HOEs ideal for use 111 in see-through optics, such as AR displays, where transparency and 112 image clarity are critical [13]. However, HOEs can be overly selec-113 tive regarding AoI tolerance, which may restrict the field of view 114 (FoV) in AR displays. In standard AR display designs, the fixed 115 positional relationship between the microdisplay and the waveg-116 uide mitigates this issue. In contrast, the beaming display (BD) 117 system does not rely on a fixed configuration, making the selec-118 tivity of HOEs a critical factor for head orientation [1, 11] and the 119 achieved image FoV at the user's viewpoint, as observed in our pre-120 vious HOE-based waveguide system [11]. Diffractive gratings can 121 address this limitation by offering greater AoI tolerance, albeit at 122 the cost of reduced light transmission efficiency. 123

Metasurfaces utilize subwavelength structures to precisely con-124 trol light properties, offering greater flexibility compared to tra-125 ditional optical elements. This flexibility includes the ability to 126 manipulate polarization [27] and compatibility with broader wave-127 length ranges. These capabilities make metasurfaces a versatile tool 128 in the optical design of AR displays [20, 18]. However, the fabrica-129 tion of metasurfaces is significantly more complex and resource-130 intensive than HOEs, requiring access to specialized nanofabri-131 cation facilities and advanced techniques, such as electron beam 132 lithography or femtosecond laser nanoprinting. In contrast, HOEs 133 can be produced using readily available photopolymer films and 134 simpler holographic recording processes, making them more prac-135 tical for widespread applications. 136

In traditional AR displays, particularly those available commer-137 cially, microdisplays are typically placed near the user's eye, often 138 close to the hinge of the glasses. In these designs, light is emitted 139 toward the user from the microdisplay and then guided into the eve 140 via in-couplers positioned accordingly. In contrast, the beaming-141 display (BD) system assumes that the light originates from the sur-142 rounding environment, projecting images from the scene toward the 143 glasses. This arrangement requires the in-coupler to be positioned 144 to face the scene, unlike the conventional waveguide configurations 145 found in standard AR displays (Fig. 3(c)). 146

(a) Diffractive Optical Elements HOE gratings Wavelength Wavelength & Angle Angle matched mismatch mismatch - Diffractive gratings (b) Typical waveguide-based AR display design Micro display Eve Out-coupler Lens Waveguide DOE gratings In-coupler -(c) Our light-receiving optics design Out-couple In-cour Receiving Scene light optics Screen Transmissive diffuser) Projection

Figure 3: Diffraction-based gratings and waveguides overview. (a) Illustration of the behavior of diffractive optics (gratings) designed to redirect incident light. (b) A simplified, typical waveguide configuration for AR glasses directs light from a face-side microdisplay to the eye. (c) Our Beaming Display approach uses a scene-side screen and DOE couplers to direct incident projection light to the eye.

A similar approach to this opposing coupler layout was proposed 147 by Jang et al. for near-eye holographic AR displays using nano-148 imprinted surface relief gratings [13]. For our BD system, we have 149 selected HOEs as the preferred coupler technology due to their fa-150 vorable balance between optical performance and ease of fabrica-151 tion. Nevertheless, it is important to note that both metasurfaces and 152 DOEs remain viable alternatives for our design, offering unique ad-153 vantages depending on the specific requirements of the application. 154

### 155 **3 IMPLEMENTATIONS**

We overview the optical design and prototype implementation anda brief review of the optical theory of diffractive gratings.

# **3.1 Diffractive Gratings for Waveguides**

Diffraction gratings are optical elements with a periodic structure
capable of splitting and directing light into specific directions. In
the context of AR displays, they play a crucial role in waveguides
by enabling efficient coupling of light into and out of the system.
Figure 4 (top) illustrates the geometry and variables associated with
diffraction gratings used in AR waveguides.

The interaction of light with a diffraction grating is governed by the grating equation:

$$a\left(\sin\theta_m \pm \sin\theta_i\right) = m\lambda,\tag{1}$$

where *a* is the grating period (distance between adjacent grating lines),  $\theta_i$  and  $\theta_m$  are the angle of incidence relative to the grating normal and the *m*-th diffracted order, respectively, and  $\lambda$  is the wavelength of the incident light. The plus sign (+) applies to *reflective gratings*, while the minus sign (-) applies to *transmissive gratings*, indicating the direction of diffracted light.



Figure 4: Schematic illustration of the gratings and waveguide with 0-th and 1-st diffracted light. (top) reflective and transmissive grating parameters. (bottom) A waveguide design with TIR. The gratings are required to redirect light rays to achieve the critical angles.

In AR waveguides, two diffraction gratings are typically used as an *in-coupler* and an *out-coupler*. Fig. 4 (bottom) illustrates a configuration featuring a reflective in-coupler and a transmissive out-coupler. Light from the display source enters the waveguide by interacting with the reflective in-coupler grating. This interaction is described as:

$$a\left(\sin\theta_m + \sin\theta_i\right) = m\lambda. \tag{2}$$

Here,  $\theta_i$  represents the angle at which the incident light strikes 179 the grating, and  $\theta_m$  is the angle at which the light is diffracted into 180 the waveguide. The design ensures that the diffracted light enters 181 the waveguide at an angle suitable for propagation via total internal 182 reflection (TIR). TIR occurs when light in a medium with a higher 183 refractive index strikes an interface with a lower refractive index 184 at an angle greater than the critical angle  $\theta_c$ . The critical angle is 185 defined as: 186

$$\theta_c = \arcsin\left(n_2/n_1\right),\tag{3}$$

where  $n_1$  is the refractive index of the waveguide material, and  $n_2$  is that of the surrounding medium (typically air). By ensuring that the in-coupler grating directs light at angles exceeding  $\theta_c$ , the waveguide confines the light efficiently, enabling it to propagate over long distances with minimal optical losses, which is critical for maintaining image quality in AR displays.

Finally, the light reaches the transmissive out-coupler grating, which diffracts it out of the waveguide toward the user's eye. The grating equation for the out-coupler is: 193

$$a\left(\sin\theta_m - \sin\theta_i\right) = m\lambda. \tag{4}$$

204

where  $\theta_i$  is the angle at which the guided light strikes the outcoupler grating from within the waveguide, and  $\theta_m$  is the angle at which the light exits the waveguide. The out-coupler design needs to ensure that the exiting light is directed with the appropriate angle and intensity to provide a clear and bright image for the user.

By utilizing diffraction gratings in this manner, the waveguides can efficiently manage the propagation of light, ensuring that images from the display source are delivered to the user's eye.

# 3.2 Light-Receiving Glasses

Our light-receiving glasses consist of two main components: screen 205 optics and waveguide optics, as illustrated in Fig. 5(a). 206

The screen optics feature a diffuser that captures a micro im-207 age from the narrow FoV projection and redistributes the light uni-208 formly toward the waveguide by lens optics. The diffuser scatters 209 light across multiple directions, ensuring that the lens optics can 210 capture and direct it effectively to enable a wide AoI. The screen 211 optics include a screen and a lens. For the screen, we used a dif-212 fuser (Thorlabs DG10-1500-A, N-BK7, 1500 grit, 1-inch diame-213 ter, designed for 350-700 nm) to ensure a uniform distribution of 214 light over the beam AoI. The lens was an achromatic doublet lens 215



Figure 5: 3D CAD renderings of the designed light-receiving glasses module. (a) Left: A scene-side view. The screen optics receive images from the projector. Right: A face-side view and a top view. (b) A rendering of optical components only with visualization of a schematic optical path of the chief ray.

(Thorlabs AC254-030-A, f = 30 mm,  $\phi = 1 \text{ inch}$ , a 400–700 nm wavelength range). This lens collimates the projected light onto the waveguide.

The waveguide optics direct light to the user's eyes through TIR. 219 It incorporates two diffractive gratings: an in-coupler and an out-220 coupler. Positioned on the scene side of the glasses, the in-coupler 221 captures the diffused light from the screen optics and channels it 222 into the waveguide. The light propagates through the substrate via 223 TIR and reaches the out-coupler, located on the eye side of the 224 glasses. The out-coupler then extracts the light and redirects it to-225 ward the user's eyes for visualization. 226

In our design, the waveguide optics are configured with a re-227 flective grating for the in-coupler and a transmissive grating for 228 the out-coupler. The light relaying process begins with the in-229 coupler receiving light from the screen optics (see Fig. 3(c)). The 230 in-coupler is a Thorlabs GH25-18V holographic reflective grating 231 (1800 grooves per millimeter,  $25 \times 25 \times 6$  mm). This reflective 232 grating directs the projected light into the waveguide, ensuring ef-233 234 fective guidance through the system. We applied index-matching oil between the grating and the glass substrate. The out-coupler is a 235 Thorlabs GT25-12 transmission grating (1200 grooves per millime-236 ter,  $36.9^{\circ}$  groove angle, and dimensions of  $25 \times 25$  mm). This grat-237 ing facilitates light extraction from the waveguide while preserving 238 a see-through view. We also applied index-matching oil here, just 239 as we did with the in-coupler. As the waveguide base, we employed 240  $2 \times 3$ -inch glass plates with a thickness of 2 mm. To improve im-241 age separation and reduce crosstalk among different light paths, we 242 stacked three such plates, using index-matching oil between them 243 to minimize reflection losses and optimize light transmission. 244

To align the waveguide and image-receiving screen optics, we designed and 3D-printed a custom rig to position each optical component accurately. Fig. 5(a, b) presents the 3D CAD model of the rig and a rendering of the optical components with the schematic optical path. The assembled prototype is shown in Fig. 1(b).

### 250 4 EVALUATION

We evaluate the quality of the see-through images and the AoI capability of our prototypes. In the evaluation setup (Fig. 6), we build a narrow-FoV projector for remote image projection and our light-

receiving glasses prototype, respectively.



Figure 6: Schematic overview of our system configuration. During experiments, due to limited space, we also placed a mirror between the screen and the projector path to reorient the beam direction and achieve a longer projection distance for demonstration. (a) The system consists of a narrow-FoV projector and light-receiving glasses with a waveguide and diffractive grating couplers. In particular, the in-coupler is placed on the eye-side of the glasses, facing the projector, and relays the projected images into the user's eyes. (b) A sample projection and its schematic of the optical configuration of the narrow-FoV projector. (b) Bird's eye view of the projector and a sample image projected on a white screen about 1.5 meters away.

### 4.1 Testing Setup with a Narrow-FoV Projector

For our evaluation, we built a projector with a narrow FoV. This setup is designed to project a small image (approx. 7 mm in height) onto the receiving glasses from a distance of about 1.5 m. This contrasts with typical projectors, which generally use a wide FoV.

255

256

257

258

259

260

261

262

263

264

279

280

281

282

283

284

285

288

A steerable projector with a tracking system is ideal for detecting the pose of light-receiving glasses and directing the beam accordingly [7]. However, this study focuses on the waveguide and AoI, and thus dynamic tracking and beam steering were not implemented in the proof-of-concept.

Among the available projection technologies, we chose a laser-265 scanning projector as the most suitable option. Although digital 266 mirror devices (DMDs) and liquid crystal on silicon (LCoS) pro-267 jectors are also viable, laser-scanning projectors offer some ad-268 vantages. First, because diffractive optical elements (DOEs) are 269 wavelength-dependent, a narrow-wavelength light source is needed 270 to maximize resolution and avoid chromatic aberration. Second, 271 using a laser source in two-dimensional spatial modulators (e.g., 272 DMDs or LCoS) causes interference fringes. By contrast, a laser-273 scanning projector sequentially modulates the beam over time, pre-274 venting these interference effects since each pixel is rendered at a 275 different moment. As a future alternative, phase-only spatial light 276 modulators combined with computational holography may be em-277 ployed [21, 1, 13]. 278

We used an off-the-shelf laser projector (Ultimems HD301D1,  $1280 \times 980$  pixels) and added projection lenses to narrow the FoV, enabling small image projection from a distance (Fig. 6(b, c)). Although cascading long-focal lenses currently result in a longer projector form factor, there is potential for reducing its size, as discussed in Sec. 5.4.

### 4.2 Evaluation Over Projection Aol

We performed qualitative and quantitative analyses to evaluate the prototype's capability within the projection AoI. 287

### 4.2.1 Qualitative Analysis

Figure 7 qualitatively illustrates the system's AoI capability by showing how the image quality changes with varying projection angles. The receiving glasses were mounted on an optical bench 291



Figure 7: Qualitative display quality evaluation against projection angles. Each image comes with a colormap image to visualize low-intensity images. (a) Horizontal incident angle test with a USAF-1951 chart and (b) with a grid pattern. (c) Vertical incident angle test with a USAF-1951 chart. Brightness and image quality visibly degrade as angles increase.

baseplate and oriented at angles ranging from -40° to 40° in 5° increments, either horizontally or vertically, relative to the projector,
while displaying test images.

Image capture was performed using a Ximea MC023CG-SY-UB camera (1936  $\times$  1216 pixels, 1/1.2" diagonal) with a Tamron M118FM25 lens (f = 25 mm, F/1.6), and an exposure time of 16.665 ms (60 Hz). To ensure accurate analysis of the projected image, the room was darkened, and the receiving glasses were covered with black material to eliminate ambient light and block any see-through view.

Figures 7(a) and (b) present the results using a USAF-1951 resolution chart and a grid pattern, respectively, for horizontal orientations of the glasses. Figure 7(c) shows the results with the USAF-1951 chart for vertical orientations. These figures highlight how image quality varies with changes in projection angles.

In all examples, a consistent trend is observed: as the projection angle deviates from the optimal angle for maximum brightness, both brightness and resolution decrease, while geometric distortion and off-focus blur increase. Image distortion can be corrected if the pose of the glasses is known by applying an appropriate homography transform to the input image. The observed blur is likely due to the projection's limited DoF, indicating a need for further improvements in the system's DoF. Qualitatively, the image maintains acceptable quality within an angular range of approximately 20° to 30°. In Figure 7(c), where the vertical projection angle varied, distortion appeared as skew, likely caused by tilting of the receiving screen along both the x and y axes. This distortion can also be corrected through a homography transform. 319

During the evaluation, the projected images were adjusted to ap-320 proximately the same position on the screen; however, slight posi-321 tional shifts were observed, likely due to mechanical alignment lim-322 itations. To ensure objective comparison, regions of interest (ROIs) 323 were automatically calculated for each captured image based on the 324 bright areas containing image information. The union ROI of them 325 was subsequently used over the images in the quantitative analysis 326 to provide consistent evaluation metrics. 327

# 4.2.2 Quantitative Analysis

Figure 8(a) through (c) presents the quantitative evaluation of the AoI capability. Each figure contains three plots corresponding to the images shown in Figures 7(a) to (c), illustrating Root Mean Square (RMS) contrast, mean intensity, and high-frequency discrete-cosine-transform (DCT) components. For the DCT analysis, the top-left one-fourth of the frequency domain, representing 334

328



Figure 8: Quantitative analysis of image quality at various projection angles with several image quality metrics. From the top row to the third: RMS contrast, mean brightness, and DCT-based highfrequency metric. The plots illustrate similar performance trends for both horizontal and vertical incident angles.

dominant low-frequency components, was excluded. The remain-335 ing high-frequency regions were summed to quantify fine image 336 details. 337

As shown in Fig. 8(a) and (b), the horizontal AoI evaluation re-338 veals consistent metric peaks at +10°, confirming our qualitative ob-339 servation that images captured at +10° appear sharpest and bright-340 341 est. A similar trend is observed in the vertical AoI, with a peak at  $-10^{\circ}$ . Given the distribution shape, if we use a threshold set at 50% 342 of the peak high-frequency energy, the valid AoI angle range is es-343 timated to be approximately 20-30° across all examples, indicating 344 the system's effective angular tolerance. 345

#### 4.3 User-view Image 346

We tested our light-receiving glasses prototypes by projecting var-347 ious digital content. To capture the see-through view, we used a 348 349 Google Pixel 8 Pro with its 2*times* lens mode. To minimize unintended visual artifacts, the camera's shutter speed was set to 1/30 or 350 1/60, synchronizing with the laser projector's raster scanning cycle. 351 Figure 9 (a-c) summarizes our qualitative image evaluation. We 352 tested (a) several static images, including an IEEE VR 2025 logo 353 354 converted in green with a gamma compensation of 0.3, a USAF-355 1951 chart, and a grid, (b) 2D animation (Big Back Bunny, copyright Blender foundation), and (c) a 3D CG rendering of a rotating 356 alphabet 'F'. The supplementary video further provides a qualitative 357 visual of the recordings. 358

#### **DISCUSSION AND DIRECTIONS** 5 359

We discuss the limits and the prospects of our beaming display de-360 sign with recent advancements in AR optics, as our approach can 361 benefit from these existing optics designed for AR displays. 362

#### **Thin Light-receiving Optics** 5.1 363

In VR displays, the trend is to reduce the distance from the dis-364 play panel to the user's eye. Using a Fresnel lens is a common 365 approach in VR headsets to shorten the distance between the mi-366 crodisplay and the eye while compromising a visual artifact due to 367 its lens structure. Further approaches use pancake optics designs 368 with reflective elements that can even shorten the physical distance 369 between the lens and the waveguide [23, 29]. 370

For such reflective pancake design, an example with a lenslet 371 exists [3]. Further combining reflective liquid crystal HOEs is a 372 promising approach in VR displays [21]. Yet their (circular) po-373 374 larization dependency may pose challenges as it depends on the projector's polarization, and the beam's polarization angle can be 375

misaligned with the designed angle. We currently use a volume 376 HOE in our design, which is less polarization-dependent and can 377 be used with a wider range of projectors. 378

As we briefly mentioned in the introduction, a recent design with 379 an opposing layout for a holographic near-eye display can be trans-380 ferred to our approach [13]. Because we can replace their spatial 381 light modulator part with our light-receiving optics. Multifunc-382 tional HOEs, such as integrating lens and grating/diffuser features 383 together, can also reduce the form factor [8, 22]. 384

385

395

419

420

421

428

# 5.2 Image Quality

The current prototype perceives some visual ghost and vignetting 386 in the peripheral FoV. Despite implementing a reflective diffraction 387 grating as the in-coupler and transmissive diffraction grating as the 388 out-coupler in our waveguide-based AR display, we have observed 389 two primary issues affecting the quality of the see-through image: 390 Limited Field of View (FoV): The peripheral regions of the dis-391 played image appear vignetted, resulting in a restricted FoV. Ghost 392 Images: Duplicate images appear to shift alongside the main image, 393 creating a ghosting effect. 394

#### 5.2.1 Ghost Image

The ghost images are likely due to the diffraction gratings not 396 achieving 100% diffraction efficiency. When light interacts with 397 the diffraction grating, it splits into two components: the diffracted 398 light and the specularly reflected (non-diffracted) light. The non-399 diffracted light continues to propagate within the waveguide and 400 can reflect back to the grating. Upon a second interaction with the 401 grating, a portion of this light is diffracted out of the waveguide, re-402 sulting in a shifted duplicate of the original image—a ghost image. 403

This phenomenon occurs because the residual non-diffracted 404 light maintains sufficient intensity to produce visible secondary im-405 ages upon subsequent diffraction events. The position and inten-406 sity of these ghost images depend on the angles of incidence and 407 diffraction, as well as the geometry of the waveguide. 408

We also note that, unlike commercial waveguides, our custom 409 waveguide had to place separate grating pieces on the glass sub-410 strate with index-matching oil. This causes the out-coupler to pro-411 trude from the glass substrate plane. Unintended reflections may 412 occur on the sides of the protruding surfaceFig. 5(b). A possi-413 ble solution is to absorb the non-diffracted light to prevent it from 414 causing ghost images. Blackening the grating grooves could ab-415 sorb unwanted reflections, but this would eliminate the see-through 416 capability of the display, which is undesirable for AR applications. 417

Optimizing parameters such as the glass thickness, incident an-418 gle, projection image area, and grating area may help reduce ghosting by minimizing the opportunities for non-diffracted light to produce secondary images [34, 24].

A more fundamental solution involves making the diffraction 422 grating polarization-selective. By implementing wave plates on the 423 sides of the glass that are not associated with the gratings, we can 424 design the diffraction gratings to diffract only specific polarization 425 states of light. This method can reduce unwanted reflections and 426 ghost images without compromising transparency [25]. 427

### 5.2.2 Limited FoV

The limitation in FoV is likely due to the angular dependence of 429 the diffraction efficiency of the gratings. Even with monochromatic 430 light, the efficiency with which a diffraction grating diffracts light 431 varies with the angle of incidence. As the incident angle deviates 432 from the optimal angle for the desired diffraction order (e.g., the 433 +1st order), the constructive interference that maximizes diffrac-434 tion efficiency diminishes. This results in a decrease in diffracted 435 light intensity at larger incident angles, causing vignetting in the 436 peripheral regions of the image. 437



Figure 9: See-through view samples. The screen Aol was set to 0°. Captures are from (a) static images: a VR2025 logo, USAF-1951 chart, and a grid pattern. (b) 2D animation (Big Back Bunny, © Blender foundation), (c) a 3D CG rendering of a rotating alphabet 'F'.



Figure 10: An observation of chromatic aberration in our waveguide system when projected a white grid image. Red (longer than green) and blue (shorter than blue) are shifted in opposing directions.

We considered the possibility that the TIR condition within the
waveguide is not satisfied at certain angles, which could lead to
light escaping the waveguide and contributing to the limited FoV.
However, if TIR failure were the primary cause, we would expect
asymmetrical vignetting (i.e., vignetting on only one side of the
image), which does not align with our observations.

To address the FoV limitation, we can explore gratings designed to maintain high diffraction efficiency over a wider range of incident angles. This could involve modifying the grating's groove profile or employing advanced grating designs such as blazed or holographic gratings optimized for broader angular performance.

Adjusting the waveguide geometry and optimizing the alignment
between the gratings and the projection optics may also help ensure
that the incident angles remain within the grating's efficient diffraction range, thereby expanding the effective FoV.

# 453 5.3 Full Color Waveguides

<sup>454</sup> Our current prototype with diffractive gratings is optimized for the
<sup>455</sup> green channel. Accordingly, the projector only used its green chan<sup>456</sup> nel during our evaluations. When a full-color image is projected in
<sup>457</sup> this setup, it often appears color-split, as shown in Fig. 10.

One common approach for full-color waveguides is stacking 458 multiple ones, each tuned to a specific wavelength (as seen in Magic 459 Leap One/Two from Magic Leap). In parallel, the optics commu-460 nity has explored single-waveguide designs by producing custom 461 waveguides and relay optics [19, 30]. In HOEs, there also exist 462 approaches for full-color HOE, including using multiple HOEs for 463 each color channel [22] or a single HOE with broad bandwidth [26]. 464 More recently, Orion Glasses from Meta Reality Lab employed sil-465 icon carbide (SiC) instead of glass for the waveguide base, leverag-466 ing SiC's high refractive index to mitigate color splitting. 467

# 468 5.4 Other Topics

Diffuser Improvement The diffuser decides the image quality
of the projected images. While we took an off-the-shelf diffuser
component, a reflective diffuser or a random micro lens-based diffuser may further improve the resolution. The optical material sci-

ence community also explores more advanced diffusers with uniform scattering properties with micro/nanoparticles [35].

473

474

487

488

489

490

491

492

Narrow-FoV Projection While we located our projector sys-475 tem a meter-half away from the glasses to demonstrate the core 476 concept, greater projection distances may be preferred for indoor 477 or even outdoor applications. The distance range is up to the pro-478 jection optics design. In spatial AR, for instance, Iuchi et al. in-479 tegrated a telescope lens with a galvanometer-based scanning laser 480 system, successfully projecting text at distances of up to 200 m [12]. 481 Yet, maintaining a small, high-resolution image over such long dis-482 tances would be another design challenge. It is worth noting that 483 narrowing the FoV increases the light density over the projection 484 area, potentially making off-the-shelf pico projectors sufficient for 485 the power, provided that suitable projection optics are designed. 486

Narrow-FoV projection tends to pose depth of field (DoF) challenges, requiring precise screen focus. While laser projectors excel with collimated beams forming sharp spots, our system sacrifices beam collimation for a narrower FoV, resulting in a shallower DoF. A more advanced solution could involve customizing the projector's scanning mirror to retain a narrow FoV with a deeper DoF.

Projection Light Source The choice of the projector's light 493 source presents another design consideration. As discussed, 494 DOEs, including diffractive gratings and HOEs, are wavelength-495 dependent, meaning that an incompatible wavelength can degrade 496 image quality or render the system non-functional for the designed 497 optics. For this reason, our prototype relied on a laser-scanning 498 projector. While a DMD-based laser projector could be used to in-499 crease brightness, it is prone to interference artifacts (i.e., speckle 500 noise), where light from individual pixels interferes with each other. 501 A promising direction for overcoming these limitations is extending 502 the computational holography (CGH) approach using spatial light 503 modulators (SLM), as partially explored in HoloBeam [1]. Recent 504 advancements in VR/AR near-eye displays have successfully com-505 bined waveguides with SLMs [13, 15]. 506

Curved Waveguide Typically, waveguides use flat substrates. 507 This limits the design factors and also be incompatible with ordinary prescription eyeglasses. Unlike surface relief gratings, HOEs can be recorded on curved surfaces. Employing curved or even free-form waveguides and optimizing the HOEs accordingly would be an exciting research area to be adapted to our applications as long as we can handle the angular selectivity issue [6, 14]. 513

Tracking and Steering As intended, this work does not incorporate the tracking part of beaming displays, which is yet another essential aspect of the approach. Since our design has wide incident-angle tolerance, it can incorporate the existing approaches. For example, the low-latency dynamic-feedback approach with an IR marker [7] can be applied seamlessly since the DOE couplers do not interfere with the light far outside the designed wavelength.

Stereo Image While this work focuses on a waveguide de-521 signed for a single eyepiece, a complete system needs stereo vi-522 523 sualization for 3D image generation. One option is to use a dualprojector system that can project stereo images for the left and right 524 eyepieces [2]. Another approach might place a receiving screen at 525 the center of the passive, light-receiving glasses, guiding half of the 526 image to each eyepiece. 527

#### 6 CONCLUSION 528

In this study, we proposed light-receiving glasses with waveguides 529 incorporating diffractive gratings. This design enables thin, passive 530 optical glasses suitable for the beaming display approach, which 531 addresses the trade-offs in AR display design. By optimizing the 532 optical system, we achieved a slim form factor with enhanced AoI 533 tolerance, overcoming the limitations of conventional HOE-based 534 designs. A proof-of-concept prototype was developed and tested 535 using a narrow FoV projector capable of projecting small, high-536 quality images. The results demonstrate that our design achieves an 537 acceptable lateral AoI range of 20–30°, maintaining virtual image 538 quality. These findings highlight the potential of our approach to 539 advance lightweight and high-performance AR display systems. 540

#### **ACKNOWLEDGMENTS** 541

This work was partially supported by JST ASPIRE Grant Num-542 ber JPMJAP2327, JST FOREST Grant Number JPMJFR206E and 543 JPMJFR206K, and JSPS KAKENHI Grant Number JP20H05958, 544

JP23H03430 (JP23K28120), and JP23K16920 Japan. 545

#### REFERENCES 546

- 547 [1] K. Akşit and Y. Itoh. Holobeam: Paper-thin near-eye displays. In Proc. of IEEE VR 2023, pp. 581-591. IEEE, 2023. 1, 2, 4, 7 548
- 549 [2] H. Aoki, T. Tochimoto, Y. Hiroi, and Y. Itoh. Towards co-operative 550 beaming displays: Dual steering projectors for extended projection volume and head orientation range. IEEE TVCG, 2024. 1, 2, 8 551
- [3] K. Bang, Y. Jo, M. Chae, and B. Lee. Lenslet vr: thin, flat and wide-552 fov virtual reality display using fresnel lens and lenslet array. IEEE 553 554 TVCG, 27(5):2545-2554, 2021. 6
- [4] J. Carmigniani, B. Furht, M. Anisetti, P. Ceravolo, E. Damiani, and 555 M. Ivkovic. Augmented reality technologies, systems and applica-556 tions. Multimedia tools and applications, 51:341-377, 2011. 1 557
- Y. Ding, O. Yang, Y. Li, Z. Yang, Z. Wang, H. Liang, and S.-T. Wu. 558 [5] Waveguide-based augmented reality displays: perspectives and chal-559 lenges. eLight, 3(1):24, 2023. 2 560
- [6] C. T. Draper and P.-A. Blanche. Holographic curved waveguide 561 562 combiner for hud/ar with 1-d pupil expansion. Optics Express, 30(2):2503-2516, 2022. 7 563
- [7] Y. Hiroi, A. Watanabe, Y. Mikawa, and Y. Itoh. Low-latency beam-564 ing display: Implementation of wearable, 133µs motion-to-photon la-565 tency near-eye display. IEEE TVCG, 2023. 2, 4, 7 566
- K. Hong, J. Yeom, C. Jang, J. Hong, and B. Lee. Full-color lens-array 567 [8] holographic optical element for three-dimensional optical see-through 568 augmented reality. Optics letters, 39(1):127-130, 2014. 6 569
- Y. Itoh, T. Kaminokado, and K. Akşit. Beaming displays. IEEE [9] 570 TVCG, 27(5):2659-2668, 2021. 1, 2 571
- Y. Itoh, T. Langlotz, J. Sutton, and A. Plopski. Towards indistin-572 [10] guishable augmented reality: A survey on optical see-through head-573 mounted displays. ACM CSUR, 54(6):1-36, 2021. 1 574
- Y. Itoh, T. Nakamura, Y. Hiroi, and K. Aksit. Beaming display using [11] 575 thin holographic waveguides for wider head orientation angle range. 576 In 2024 IEEE ISMAR Adjunct, pp. 475-476. IEEE, 2024. 2 577
- 578 [12] M. Iuchi, Y. Hirohashi, and H. Oku. Proposal for an aerial display 579 using dynamic projection mapping on a distant flying screen. In IEEE VR 2023, pp. 603-608. IEEE, 2023. 7 580
- [13] C. Jang, K. Bang, M. Chae, B. Lee, and D. Lanman. Waveguide 581 holography for 3d augmented reality glasses. Nature Communica-582 tions, 15(1):66, 2024. 2, 3, 4, 6, 7 583

- [14] C. Jang, O. Mercier, K. Bang, G. Li, Y. Zhao, and D. Lanman. Design 584 and fabrication of freeform holographic optical elements. ACM TOG, 585 39(6):1-15, 2020, 7586
- [15] J. Kim, M. Gopakumar, S. Choi, Y. Peng, W. Lopes, and G. Wetzstein. Holographic glasses for virtual reality. In ACM SIGGRAPH 2022 Conference Proceedings, pp. 1–9, 2022. 7

587

588

589

594

595

596

597

598

599

600

601

602

603

604

605

606

607

612

613

614

615

616

617

618

619

620

621

622

624

625

626

627

628

629

630

631

632

633

634

635

636

637

638

639

640

641

642

643

644

645

646

647

648

649

650

- [16] G. A. Koulieris, K. Akşit, M. Stengel, R. K. Mantiuk, K. Mania, and 590 C. Richardt. Near-eye display and tracking technologies for virtual 591 and augmented reality. In Computer Graphics Forum, vol. 38, pp. 592 493-519. Wiley Online Library, 2019. 1 593
- [17] B. C. Kress and I. Chatterjee. Waveguide combiners for mixed reality headsets: a nanophotonics design perspective. Nanophotonics, 10(1):41-74, 2020, 2
- [18] Y. Li, X. Huang, S. Liu, H. Liang, Y. Ling, and Y. Su. Metasurfaces for near-eye display applications. Opto-Electronic Science, 2(8):230025-1. 2023. 2
- [19] Z. Liu, C. Pan, Y. Pang, and Z. Huang. A full-color near-eye augmented reality display using a tilted waveguide and diffraction gratings. Optics Communications, 431:45-50, 2019. 7
- [20] Z. Liu, D. Wang, H. Gao, M. Li, H. Zhou, and C. Zhang. Metasurfaceenabled augmented reality display: a review. Advanced Photonics, 5(3):034001-034001, 2023. 2
- [21] A. Maimone and J. Wang. Holographic optics for thin and lightweight virtual reality. ACM TOG, 39(4):67-1, 2020. 4, 6
- [22] S. Moon, C.-K. Lee, S.-W. Nam, C. Jang, G.-Y. Lee, W. Seo, G. Sung, 608 H.-S. Lee, and B. Lee. Augmented reality near-eye display using 609 pancharatnam-berry phase lenses. Sci. reports, 9(1):6616, 2019. 6, 610 611
- [23] B. A. Narasimhan. Ultra-compact pancake optics based on thineyes super-resolution technology for virtual reality headsets. In Digital Optics for Immersive Displays, vol. 10676, pp. 359-366. SPIE, 2018. 6
- [24] D. Ni, D. Cheng, Y. Liu, X. Wang, C. Yao, T. Yang, C. Chi, and Y. Wang. Uniformity improvement of two-dimensional surface relief grating waveguide display using particle swarm optimization. Optics Express, 30(14):24523-24543, 2022. 6
- [25] N. Nieuborg, A. Kirk, B. Morlion, H. Thienpont, and I. Veretennicoff. Polarization-selective diffractive optical elements with an indexmatching gap material. Applied optics, 36(20):4681-4685, 1997. 6
- [26] J.-A. Piao, G. Li, M.-L. Piao, and N. Kim. Full color holographic optical element fabrication for waveguide-type head mounted display us-623 ing photopolymer. Journal of the Optical Society of Korea, 17(3):242-248, 2013. 7
- [27] J. Tang, S. Wan, Y. Shi, C. Wan, Z. Wang, and Z. Li. Dynamic augmented reality display by layer-folded metasurface via electricaldriven liquid crystal. Advanced Optical Materials, 10(12):2200418, 2022 2
- [28] T. Tochimoto, Y. Hiroi, and Y. Itoh. Dual beaming display for extended head orientation and projection volume. In 2023 IEEE ISMAR Adjunct, pp. 377-378. IEEE, 2023. 2
- [29] X. Xia, F. Y. Guan, Y. Cai, and N. Magnenat Thalmann. Challenges and advancements for ar optical see-through near-eye displays: a review. Frontiers in Virtual Reality, 3:838237, 2022. 6
- [30] J. Xiao, J. Liu, J. Han, and Y. Wang. Design of achromatic surface microstructure for near-eve display with diffractive waveguide. Optics Communications, 452:411-416, 2019. 7
- [31] J. Xiong, E.-L. Hsiang, Z. He, T. Zhan, and S.-T. Wu. Augmented reality and virtual reality displays: emerging technologies and future perspectives. Light: Science & Applications, 10(1):1-30, 2021. 2
- [32] J. Xiong, K. Yin, K. Li, and S.-T. Wu. Holographic optical elements for augmented reality: principles, present status, and future perspectives. Advanced Photonics Research, 2(1):2000049, 2021. 2
- [33] K. Yin, Z. He, J. Xiong, J. Zou, K. Li, and S.-T. Wu. Virtual reality and augmented reality displays: advances and future perspectives. Journal of Physics: Photonics, 3(2):022010, 2021. 2
- [34] Y. Zhang and F. Fang. Development of planar diffractive waveguides in optical see-through head-mounted displays. Precision Engineering, 60:482-496, 2019. 6
- L. Zhou, S. Liu, and T. Zhong. A comprehensive review of optical [35] 651 diffusers: progress and prospects. Nanoscale, 15(4):1484-1492, 2023. 652 7 653